

**MISCELLANEOUS APPLICATION FOR CONDONING THE DELAY
IN FILING THE APPEAL BEFORE THE SECURITIES
APPELLATE TRIBUNAL (SAT)**

BEFORE THE SECURITIES APPELLATE TRIBUNAL

MISCELLANEOUS APPLICATION NO OF 20____

IN

APPEAL NO of 20____

IN THE MATTER OF

SECURITIES AND EXCHANGE
BOARD OF INDIA ACT 1992(15
OF 1992)

AND

IN THE MATTER OF

Order passed by the Respondent
Under Section 15-I of The
Securities And Exchange Board Of
India Act, 1992, Read With Rule 5
of the SEBI (*Procedure For Holding
Inquiry And Imposing Penalties by
Adjudicating Officer*) Rules, 1995,
in the Adjudication Proceedings

initiated against M/S.
 _____ PRIVATE
 LIMITED [CIN: _____; PAN:
 _____] in the matter of its
 dealings in Illiquid Stock Options
 at _____ Stock Exchange
 Limited.

AND

IN THE MATTER OF

The adjudication proceedings
 initiated against M/S.
 _____ PRIVATE
 LIMITED for the alleged violation
 of the provisions of *Regulation 3
 (a), (b), (c), (d) and 4 (1), 4 (2) (a) of
 SEBI (Prohibition of Fraudulent
 and Unfair Trading Practices
 related to Securities Markets)
 Regulations, 2003* for carrying
 out alleged reversal of trades in
 illiquid stock options at

_____ Stock Exchange
Limited.

IN THE MATTER OF:

_____ PRIVATE LIMITED,]

(CIN – _____]

[PAN: _____],]

a Company incorporated under]

the provision of the Companies Act, 1956,]

through its Directors and]

having its address at]

_____]

_____]

_____]

_____]

and also, at]

_____]

_____] APPLICANT/ORIGINAL

APPELLANT

Versus

SECURITIES AND EXCHANGE BOARD OF INDIA,]

having its address at _____,]

_____]

_____]....RESPONDENT/ORIGINAL

RESPONDENT

APPLICATION FOR CONDONATION OF DELAY

Most respectfully submits as under:

1. The Applicant has filed the subject Appeal under Section 15T of the Securities and Exchange Board of India Act, 1992.

2. The Applicant states that the Respondent initiated adjudication proceedings against M/s. _____ PRIVATE LIMITED for the alleged violation of the provisions of Regulation _____ and of SEBI (Prohibition of Fraudulent and Unfair Trading Practices related to Securities Markets) Regulations, 2003 (*hereinafter referred to as "PFUTP Regulations 2003"*) for carrying out alleged reversal of trades in illiquid stock

**PAGES 5 TO 7
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PREVIEW